SOM

## Exhibit A

PATENT Docket No. 57338US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matthew T. SCHOLZ et al.

Group Art Unit:

1616

Serial No.:

10/051,719 Confirmation No.: 8633

Examiner:

Unassigned

Filed:

16 January 2002

For:

ANTISEPTIC COMPOSITIONS AND METHODS

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents P.O. Box 2327 Arlington, VA 22202

Şir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 et. seq., the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

Applicants also wish to bring the Examiner's attention to the following pending U.S. Applications, as well as any prior art and any provisional U.S. patent applications referenced therein. A copy of each of the below-listed pending U.S. Patent Applications is provided herewith.

Information Disclosure Statement

Serial No.: 10/051,719 Confirmation No.: 8633 Filed: 16 January 2002

FOT: ANTISEPTIC COMPOSITIONS AND METHODS





## List of Pending Non-Published U.S. Patent Applications

Applicant(s)	Application Number	Filing Date	Serial No. of Provisional Application to which listed Application claims priority
MOSBEY et al.	09/966,511	09/28/01	
SCHOLZ et al.	09/967,578	09/28/01	
LUCAST et al.	10/052,032	01/16/02	
WANG et al.	10/052,158	01/16/02	

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

The Examiner is invited to contact Applicants' Representatives at the belowlisted telephone number, if they can be of any assistance during prosecution of the present application.

## CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202. on this 21 day of August. 2002.

Signature:

Name: Ann M. Mueting

Respectfully submitted for SCHOLZ et al.

Вy

Mueting, Raasch & Gebhardt, P.A.

P.O. Box 581415

Minneapolis, MN 55458-1415

Phone: (612)305-1220

Facsimile: (612)305-1228

**Customer Number 26813** 

26813

PATENT TRADEMARK OFFICE

Ann M. Mueting

Reg. No. 33,977

Direct Dial (612)305-1879

OMB No. 0651-0011 Page 1 of 3

INFORMATION **DISCLOSURE STATEMENT** 

Atty. Docket No.: 57338US002	Serial No.: 10/051,719
Applicant(s): SCHOLZ et al.	Confirmation No.: 8633
Filing Date: 16 January 2002	Group: 1616

	Fi	ling Date: J	6 January 2002	Group	5: 1010		
							≈.
			DOCUMENTS		T 6 1 1 1	\(\)\(\)\(\)\(\)\(\)\(\)\(\)\(\)\(\)\(\	2)>
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	7//~
	3,216,983	11/09/65	Shelanski et al.				
	4,199,564	04/22/80	Silver et al.				
	4,542,012	09/17/85	Dell				
	4,584,192	04/22/86	Dell et al.				
	4,597,975	07/01/86	Woodward et al.				
	4,883,828	11/28/89	Oakes et al.				•
:	4,978,527	12/18/90	Brink et al.			,	
	5,013,763	05/07/91	Tubesing et al.				
	5,173,291	12/22/92	Brink et al.				
	5,235,015	08/10/93	Ali et al.				
	5,408,022	04/18/95	Imazato et al.				
	5,437,932	08/01/95	Ali et al.				
	5,529,770	06/25/96	McKinzie et al.				
	5,543,074	08/06/96	Hague et al.				
	5,618,841	04/08/97	Kross				
	5,621,058	04/15/97	Kondo et al.			·	
	5,665,776	09/09/97	Yu et al.				
	5,681,802	10/28/97	Fujiwara et al.				
	5,763,412	06/09/98	Khan et al.				
	5,800,685	09/01/98	Perrault				
	5,817,344	10/06/98	Hoang et al.				
	5,874,074	02/23/99	Smith				
	5,914,300	06/22/99	Fujiwara et al.				
	5,951,993	09/14/99	Scholz et al.				

EX	$\mathbf{A}\mathbf{M}$	IN.	ER

Date Considered

<sup>\*</sup>Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OMB No. 0651-0011 Page 2 of 3

INFORMATION **DISCLOSURE STATEMENT** 

Serial No.: 10/051,719 Atty. Docket No.: 57338US002

Confirmation No.: 8633 Applicant(s): SCHOLZ et al.

COMP A 7	DISTRICT NATIONAL PROPERTY.	* of Lancasca (-)		<del></del>				
SIAI	TEMENT	Filing Date: 16 January 2002		Filing Date: 16 January 2002 Group: 1610		: 1616	16	
Examiner Initial	Document Num	ber Date	Name	Class	Subclass	Fiting Date If Appropriate		
	6,034,129	03/07/00	Mandeville, III et al.					
	6,039,940	03/21/00	Perrault et al.				,	
	6,086,911	07/11/00	Godbey					
	6,123,933	09/26/00	Hayama et al.					
	6,194,530 B1	02/27/01	Klesse et al.					
	6,228,354 B1	05/08/01	Jeng					
	6,251,967 B1	06/26/01	Perichaud et al.					

FOREIGN PATENT DOCUMENTS

Examiner	Document Number	Date	Country	Class	Subclass	Trans	lation
Initial						Yes	No
`	JP 5-295317	11/09/93	Japan (English Language Translation and Abstract Included)			Х	
	JP 8-89779	04/09/96	Japan (English Language Abstract Included)				Х
	JP 8-325538 A2	12/10/96	Japan (English Language Translation and Abstract Included)			х	
	JP 2983449 B2	09/24/99	Japan (English Language Abstract Included)				x
	JP 11-228609	08/24/99	Japan (English Language Abstract Included)				х
	JP 11-269448	10/05/99	Japan (English Longuage Translation and Abstract Included)			х	
	WO 86/05391 A1	09/25/86	PCT				

EXAMINER		Date Considered
*Evamines: Initial if situ	tion runsidural whether or not citation is in a	onformance with MPEP 609: Draw line through citation if not in

conformance and not considered. Include copy of this form with next communication to applicant.

OMB No. 0651-0011 Page 3 of 3

INFORMATION
DISCLOSURE
STATEMENT

_			
Atty. Docket No.: 57338US002	Serial No.: 10/051,719		
Applicant(s): SCHOLZ et al.	Confirmation No.: 8633		
Filing Date: 16 January 2002	Group: 1616		

		•		_		Ċ
WO 96/20227 A1	07/04/96	PCT				ĺ
WO 01/28572 A1	04/26/01	PCT				
SU 1565855 A1	03/05/88	Russia (English Language			Х	

Abstract Included)

Exproiner Initial	Document Description
	"Airvol Polyvinyl Alcohol" Product Bulletin, available as CELVOL polyvinyl alcohols, Celanese Ltd., Dallas, TX (undated).
	ASTM E 1373-93, "Standard Test Method for Evaluation of a Pre-Operative Skin Preparation," Annual Book of ASTM Standards, Vol. 11.05, Title page and pp. 381-383 (2001).
	ASTM D 3278-96, "Standard Test Methods for Flash Point of Liquids by Small Scale Closed-Cup Apparatus," Annual Book of ASTM Standards, Vol. 06.01, Title page and pp. 366-373 (2002).
	Billmeyer, Jr., Textbook of Polymer Science, 2 <sup>nd</sup> Edition, Wiley-Interscience, Title Page, Publication page, Table of Contents and pp. 84-89 (1971).
	Block, Disinfection, Sterilization, and Preservation, 4th Edition, Lea & Febiger, Philadelphia, PA, Gottardi, "Chapter 8, Iodine and Iodine Compounds," Title page and pp. 152-166 (1991).
	Butterfield, "The Selection of a Dilution Water for Bacteriological Examinations," <i>Journal of Bacteriology</i> , Vol. 23, No. 355, Baltimore, MD, Title page and pp. 355-368 (1932).
	Draize, "Dermal Toxicity," Appraisal of the Safety of Chemicals in Foods. Drugs, and Cosmetics, Association of Food and Drug Officials of the United States, Topeka, Kansas, Title page and pp. 46-59 (1959).
	Providone-lodine [online] United States Pharmacopeia monograph, USP-NF Online8/1/02-12/31/02 [retrieved on 2002-08-20]. Retrieved from the Internet: <url: www.uspnf.com="">.</url:>

EXAMINER	Date Considered
	A LA DEPENDING DE LA

\*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Receipt is hereby acknowledged for the following in the U.S. Patent and Trademark Office:

Applicant(s): Matthew T. SCHOLZ et al. Serial No:: 10/051,719

Confirmation No.: 8633 Filed: 16 January 2002

Title: ANTISEPTIC COMPOSITIONS

Enclosed: An Information Disclosus Themant (2 pgs); copies 4 applications; 1449 forms (3 pgs); copies 50 documents cited on the 1449 forms; Preliminary Amendment (10 ppss - including Appendix A (4 pgs)); and transmittal document (in triplication)

Mailed: August 2) 2002 Docket: 57338US002 (M&R Ref. No.: 100.52338010)

AMM/rgg